

NSE Clearing Limited

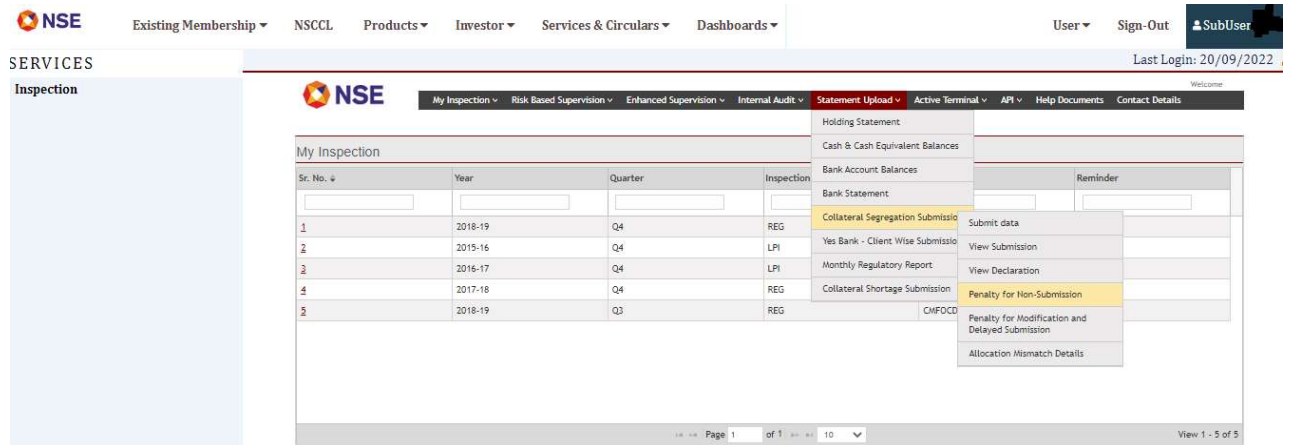
Annexure 1

Steps for viewing the penalty for Non-Reporting of the data (Violation 1) on the Member portal (ENIT)

1. Login using the following link

<https://inspection.nseindia.com/MemberPortal/>

Navigation: - Login on Member portal → click on Inspection → Statement Upload → Collateral Segregation Submission → Penalty for Non-Submission



The screenshot shows the NSE Member Portal interface. The top navigation bar includes links for Existing Membership, NSCCL, Products, Investor, Services & Circulars, and Dashboards. The left sidebar lists SERVICES, with Inspection selected. The main content area displays the 'My Inspection' table, which lists inspection details for various years and quarters. A dropdown menu is open under the 'Statement Upload' tab, showing options for Holding Statement, Cash & Cash Equivalent Balances, Bank Account Balances, Bank Statement, Collateral Segregation Submission, Yes Bank - Client Wise Submission, Monthly Regulatory Report, Collateral Shortage Submission, and CMFOCD. The 'Collateral Segregation Submission' option is highlighted, and a sub-menu is visible with options for Submit data, View Submission, View Declaration, Penalty for Non-Submission, Penalty for Modification and Delayed Submission, and Allocation Mismatch Details. The 'Penalty for Non-Submission' option is highlighted in yellow.

Sr. No.	Year	Quarter	Inspection
1	2018-19	Q4	REG
2	2019-16	Q4	LPI
3	2016-17	Q4	LPI
4	2017-18	Q4	REG
5	2018-19	Q3	REG